

Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
USPTO Use Only

EFS ID: 11904  
Application ID: 09682076  
Title of Invention: System, Method, and Computer  
Software Product for Grid  
Alignment of Multiple Scanned  
Images  
First Named Inventor: Shantanu Kaushikkar  
Domestic/Foreign Application: Domestic Application  
Filing Date: null  
Effective Receipt Date: 2001-07-17  
Submission Type: Utility Patent Filing  
Filing Type: new-utility  
Confirmation Number: 0  
Attorney Docket Number: 3351.3  
Digital Certificate Holder: cn=Alan B. Sherr, ou=Registered Attorneys, ou=Patent and  
Trademark Office, ou=Department of Commerce, o=U.S.  
Government, c=US  
Certificate Message Digest: l6EdF/XfvZ4NR37fhRNBmw==  
Total Fees Authorized: \$1144.0  
Payment Category: DA - Deposit Account  
Deposit Account Number: 10431  
Deposit Account Name: Alan B. Sherr



declaration

3351.3 declaration pg1.tif

declaration

3351.3 Dec 2.tif

declaration

3351. 3 Dec. 3.tif

**Attached Image File(s):**

3351.3 declaration pg1.tif

3351.3 Dec 2.tif

3351. 3 Dec. 3.tif

09683076-07101

Comments:

09682076.074704

Please type a plus sign (+) inside this box →



PTO/SB/01 (12-

Approved for use through 9/30/00, OMB 0651-00  
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☒ Declaration  
Submitted  
With Initial  
Filing

OR

☐ Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number	3351.3
First Named Inventor	Shantanu V. Kaushikkar
<b>COMPLETE IF KNOWN</b>	
Application Number	/
Filing Date	July 17, 2001
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**System, Method, and Computer Software Product for Grid Alignment of Multiple Scanned Images**

the specification of which (Title of the Invention)

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicat

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended specifically referred to above

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY) Country	Priority Not Claimed	Certified Copy Attached	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/226,999 60/286,578 60/242,973	08/22/00 4/26/01 10/24/00	

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box →



PTO/SB/01

Approved for use through 9/29/00, OMB 055  
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION — Utility or Design Patent Application**

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 3656 of any PCT International application design the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of this prior application and the national or PCT International filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

Additional U.S. or PCT International application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business

Patent and Trademark Office connected therewith

☐ Customer Number

22886

OR

☒ Registered practitioner(s) name/registration number listed below

Place Customer Number Bar Code Label here

Name	Registration Number	Name	Registration Number
Vern Norviel	32,483	Phillip L. McGonigle	31,395
Wei Zhou	44,419	Alan B. Sharr	42,147

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to:

☒ Customer Number or Bar Code Label

22886

OR

☒ Correspondence address

Name	Affymetrix, Inc.						
Address	General IP Counsel - Legal Department						
Address	3300 Central Expressway						
City	Santa Clara	State	CA	ZIP	95051		
Country	USA	Telephone	408/731-5800		Fax	408/731-5392	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned Inventor

Given Name (first and middle (if any))				Family Name or Surname			
Shantanu V.				Kaushikkar			
Inventor's Signature	S. V. Kaushikkar					Date	7/1
Residence: City	San Jose	State	CA	Country	USA	Citizenship	India
Post Office Address	3343 Ariel Joshua Court						
Post Office Address							
City	San Jose	State	CA	ZIP	95135	Country	USA

☐ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

[Page 2 of 2]



# FEE TRANSMITTAL

Electronic Version 1.0.4

Stylesheet Version: 1.0

*Patent fees are subject to annual revisions on or about October 1st of each year.*

Large Entity

**TOTAL FEES AUTHORIZED: \$ 1144**

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 01-0431



Deposit Account Name: Affymetrix, Inc.

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

## SUBMITTED BY

Authorized Name: Alan B. Sherr

Electronic Signature Mark: /Alan B. Sherr/

Date Signed: 20010717

## BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	\$ 710

Subtotal For Basic Filing Fee: \$ 710

## EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 33	103	\$ 18	13	\$ 234
Independent Claims: 5	102	\$ 80	2	\$ 160

Subtotal For Extra Claims Fees: \$ 394

ADDITIONAL FEES

Fee Description	Fee Code	Fee Paid
Recording Each Patent Assignment Per Property Fee	581	\$ 40

Subtotal For Additional Fees: \$ 40

09633076-031201